A Novel Linear PID Controller for an Upper Limb Exoskeleton

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Abstract—An upper limb exoskeleton is a wearable robotic system that is physically linked to the arm of the human operators and its seven actuated degrees of freedom (DOF) match the seven DOF of the human arm. The stability of such a system is critical given the proximity of its human operator. A new PID controller is developed which guarantee asymptotic stability for this class of robotic manipulators. A simulation was used to assess the system performance given the theoretical results of the controller’s parameters with a unique exoskeleton system (EXO-UL7). The simulation also verify the semi-global asymptotic stability of the system. The proposed methodology eliminates the need of the system’s dynamics model for the purpose of designing the controller. It provides an analytical tool for the controller design that is traditionally preformed experimentally (parameter tuning).

I. INTRODUCTION

Exoskeletons are wearable robots, which are worn by the human operators as orthotic devices. The exoskeleton links, joints and workspace correspond to those of the human body. The system may be used as a human input device for teleoperation, human-amplifier, and physical therapy modality as part of the rehabilitation process [12]. A wide variety of exoskeleton systems both for upper limbs [5][6][17][22][23][35][38][33] and lower limbs [11][10][8][15][16][3][4][9][14][42] with various human-machine interfaces have been developed (for review see [7][11][29][41]).

Although great progress has been made in a century-long effort to design and implement robotic exoskeletons, many design challenges continue to limit the performance of the system. One of the limiting factors is the lack of simple and effective control systems for the exoskeleton [13][39]. Proportional derivative (PD) control is the simplest scheme that may be used to control robot manipulators. It is known that bounded stability can be guaranteed with a positive PD gains controller for system regulation [37]. The robotic system performance which utilizes a PD controller is limited unless gravity compensation is applied, which requires a model of the system’s dynamic [40][36][18],[26]. Nonlinear PD controllers can also achieve asymptotic stability, such as PD control with time-varying gains [34], nonlinear modification [24], and sliding mode compensation [25].

Given the complexity of the of the exoskeleton as 7 DOF system a PID controller may be an alternative to PID controller along with gravity compensation. The position error caused by gravitational torques can be reduced by introducing an integral component to the PD control. In order to assure asymptotic stability, several components were previously added to the classical linear PID controllers for example: forth order filter [24], nonlinear derivative term [2], nonlinear integral term (saturated function) [19], input saturation, and nonlinear observer [1]. Linear PID is the simplest and the most popular industrial controller, since tuning its internal parameters does not require a model of the plant and can be performed experimentally. Lyapunov function was previously used for the tuning procedure of a linear PID, however a the inertia matrix and the gravitational torque vector of the system have to be clearly defined [21],[20]. The stability of linear PID control was studied, where the robot dynamic is rewritten in decoupled linear system and bounded nonlinear system [30], however asymptotic stability was not achieved.

The aim of this research effort is to design PID controller for a 7 DOF upper limb exoskeleton [27][28][31][32], see Figure 1. The semiglobal asymptotic stability is proven along with a new approach for tuning the parameters of PID controller.

II. DYNAMIC MODEL OF THE EXO-UL7 UPPER LIMB EXOSKELETON

The direct kinematics of the 7-DOF exoskeleton robot is derived based on the modified Denavit-Hartenberg (DH) convention. The frame assignments are depicted in Figure 2. The DH parameters of system are listed [27]. The homogeneous transformation matrix $T_{b_i}$ as a function of the four DH parameters per link $(a_i, d_i, \alpha_i, \theta_i)$ defines the...
The dynamics of exoskeleton robots are derived based on the Euler-Lagrange formulation as

$$M(q) \ddot{q} + C(q, \dot{q}) \dot{q} + g(q) = u$$  \hspace{1cm} (9)

where $q \in \mathbb{R}^n$ represents the link positions. $n$ is joint number, for our 7-DOF exoskeleton robot $n = 7$. $M(q)$ is the inertia matrix, $C(q, \dot{q}) = \{c_{ij}\}$ represents centrifugal force, $c_{ij} = \sum_{k=1}^{n} c_{ijk} \dot{q}_k$, $k, j = 1 \cdots n$, $c_{ijk}$ is Christoffel symbols \[37\]

$$c_{ijk} = \frac{1}{2} \left( \frac{\partial d_{kj}}{\partial q_l} + \frac{\partial d_{kl}}{\partial q_j} - \frac{\partial d_{lj}}{\partial q_k} \right)$$  \hspace{1cm} (10)

g(q) is the joint torques vector due to the gravitational loads, 

$$g(q) = \frac{d}{dq} U(q).$$

The 7-DOF exoskeleton satisfies the following well known properties.

**P1.** The inertia matrix $M(q)$ is symmetric positive definite, and

$$0 < \lambda_m \{M(q)\} \leq \|M\| \leq \lambda_M \{M(q)\} \leq \beta, \hspace{1cm} \beta > 0$$

where $\lambda_M \{A\}$ and $\lambda_m \{A\}$ are the maximum and minimum eigenvalues of the matrix $A$.

**P2.** For the Centrifugal and Coriolis matrix $C(q, \dot{q})$, there exists a number $k_c > 0$ such that

$$\|C(q, \dot{q})\| \dot{q} \leq k_c \|\dot{q}\|^2, \hspace{1cm} \beta > 0$$

and $\tilde{M}(q) - 2C(q, \dot{q})$ is skew symmetric, i.e.

$$x^T [\tilde{M}(q) - 2C(q, \dot{q})] x = 0$$

also

$$\tilde{M}(q) = C(q, \dot{q}) + C(q, \dot{q})^T$$

**P3.** The gravitational torques vector $g(q)$ is Lipschitz

$$\|g(x) - g(y)\| \leq k_g \|x - y\|$$

III. SEMI-GLOBAL ASYMPTOTIC STABILITY OF A LINEAR PID CONTROL

The position control objective is to evaluate the torques that have to be applied to the applied to the joints of the robot such that the difference between the actual joint angles and the desired joint angles (joint angles error) approach asymptotically to a constant. Given a desired joint angle vector $q^d \in \mathbb{R}^n$, semi-global asymptotic stability of robot control is to design for an input torque vector $u$ in (9) which generates regulation error

$$\ddot{q} = q^d - q$$

$$\dot{q} \rightarrow 0 \text{ and } \ddot{q} \rightarrow 0 \text{ when the initial conditions are in arbitrary large domain of attraction.}$$

The classical linear PID control law is defined as

$$u = K_p \ddot{q} + K_i \int_0^t \dot{q}(\tau) d\tau + K_d \dot{q}$$

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where $K_p$, $K_i$ and $K_d$ are proportional, integral and derivative gains of the PID controller, respectively. Because $\dot{q}^d = 0$, and $\ddot{q} = -\dot{q}$, the PID control law can be expressed as

$$u = K_p \dot{q} - K_d q + \xi$$

$$\ddot{\xi} = K_d \ddot{q}$$  \hspace{1cm} (18)

Using decoupled linear control (18) approach for which $K_p$, $K_i$ and $K_d$ are positive definite diagonal matrices, the closed-loop system of the robot (9) is defined by

$$M(q) \ddot{q} + C(q, \dot{q}) \dot{q} + g(q) = K_p \dot{q} - K_d q + \xi$$

$$\dot{\xi} = K_d \ddot{q}$$  \hspace{1cm} (19)

Converting the above equations into a matrix form results in

$$\frac{d}{dt} \begin{bmatrix} \xi \\ \dot{q} \\ \ddot{q} \end{bmatrix} = \begin{bmatrix} K_d \ddot{q} \\ -\dot{q} \\ -q^d + M^{-1} (Cq + g - K_p \dot{q} + K_d q - \xi) \end{bmatrix}$$  \hspace{1cm} (20)

The equilibrium of (20) is $\begin{bmatrix} \xi, \dot{q}, \ddot{q} \end{bmatrix} = [\xi^*, 0, 0]$. Since at equilibrium point is set as $q = q^d$, the equilibrium is defined as $[g(q^d), 0, 0]$. In order to move the equilibrium to the origin, we define

$$\ddot{\xi} = \ddot{\xi} - g(q^d)$$  \hspace{1cm} (21)

and the closed loop equation becomes

$$M(q) \ddot{q} + C(q, \dot{q}) \dot{q} + g(q) = K_p \dot{q} - K_d q + \ddot{\xi} + g(q^d)$$

$$\dddot{\xi} = K_d \ddot{q}$$  \hspace{1cm} (22)

**Theorem 1:** Since the robot dynamic (9) controlled by linear PID controller (18), the closed loop system (22) is semi-globally asymptotically stable at the equilibrium point $x = \begin{bmatrix} \xi - g(q^d), \dot{q}, \ddot{q} \end{bmatrix}^T = 0$, if the control gains satisfy

$$\lambda_M(K_p) \geq \frac{1}{3} \frac{1}{K_g}$$

$$\lambda_M(K_i) \leq \beta \frac{\lambda_m(K_p)}{\lambda_m(K_M)}$$

$$\lambda_M(K_d) \geq \beta + \lambda_M (M)$$  \hspace{1cm} (23)

where $\beta = \sqrt{\frac{\lambda_m(M)\lambda_m(K_p)}{\lambda_m(K_M)}}$, $k_g$ satisfies (15).

**Proof:** We construct a Lyapunov function as

$$V = \frac{1}{2} q^T M \ddot{q} + \frac{1}{2} q^T \dot{K}_p \dot{q} + U(q) - k_u + \bar{q}^T g(q^d)$$

$$+ \frac{1}{2} \dot{\xi}^T K_i^{-1} \ddot{\xi} + \dot{\xi}^T \dddot{\xi} + \frac{1}{2} \bar{q}^T g(q^d)^T K_p^{-1} g(q^d)$$

$$- \alpha \dddot{q}^T M \ddot{q} + \frac{1}{2} \bar{q}^T K_d \dot{q}$$  \hspace{1cm} (24)

where $k_u = \min \{U(q)\}$, $U(q)$ is defined in (8), $k_u$ is added such that $V(0) = 0$. $\alpha$ is a design positive constant.

We first prove that $V$ is a Lyapunov function, and $V \geq 0$. The term $\frac{1}{2} \dot{\xi}^T K_i^{-1} \ddot{\xi}$ is separated into four parts, such that

$$V = \sum_{i=1}^{4} V_i$$

$$V_1 = \frac{1}{2} \ddot{\xi}^T M \ddot{\xi} + \frac{1}{2} \bar{q}^T g(q^d)^T M \ddot{\xi} + \frac{1}{2} \bar{q}^T g(q^d)^T K_p^{-1} g(q^d)$$

$$V_2 = \frac{1}{2} \dot{q}^T K_i \ddot{\xi} + \frac{1}{2} \dot{q}^T \dddot{\xi} + \frac{1}{2} \dddot{\xi}^T K_i^{-1} \ddot{\xi}$$

$$V_3 = \frac{1}{2} \bar{q}^T K_d \dot{q} - \alpha \dddot{q}^T M \ddot{q} + \frac{1}{2} \bar{q}^T M \ddot{q}$$

$$V_4 = U(q) - k_u + \frac{1}{2} \dddot{q}^T K_d \dot{q}$$  \hspace{1cm} (25)

It is possible to show that

$$V_i = \frac{1}{2} \dddot{q}^T \dot{q} + \left( \frac{1}{2} \frac{K_p}{I} \right)^T \left[ \frac{1}{2} \frac{K_p}{I} \right] V_i \geq 0$$  \hspace{1cm} (26)

When $\alpha \geq \frac{3}{\lambda_m(K_i) \lambda_m(K_p)}$,

$$V_2 \geq \frac{1}{2} \left( \lambda_m(K_p) \left\| \dddot{q} \right\|^2 - \left\| \dot{q} \right\| \left\| \dddot{\xi} \right\| + \alpha \lambda_m(K_p) \left\| \dddot{q} \right\|^2 \right)$$

$$= \frac{1}{2} \left( \sqrt{\lambda_m(K_p)} \left\| \dddot{q} \right\| - \sqrt{\lambda_m(K_p)} \left\| \dddot{\xi} \right\| \right)^2 \geq 0$$  \hspace{1cm} (27)

Because

$$y^T Ax \leq \left\| y \right\| \left\| Ax \right\| \leq \left\| y \right\| \left\| x \right\| \leq \left\| \lambda_M (A) \right\| \left\| y \right\| \left\| x \right\|$$

when $\alpha \leq \sqrt{\lambda_m(M) \lambda_m(K_p)}$,

$$V_3 \geq \frac{1}{2} \left( \lambda_m(K_p) \left\| \dddot{q} \right\|^2 - \left\| \dot{q} \right\| \left\| \dddot{\xi} \right\| \right) + \alpha \lambda_m(K_p) \left\| \dddot{q} \right\|^2$$

$$= \frac{1}{2} \left( \sqrt{\lambda_m(K_p)} \left\| \dddot{q} \right\| - \sqrt{\lambda_m(K_p)} \left\| \dddot{\xi} \right\| \right)^2 \geq 0$$  \hspace{1cm} (29)

Obviously, if

$$\sqrt{\lambda_m(M) \lambda_m(K_p)} \geq \alpha \geq \frac{3}{\lambda_m(K_i) \lambda_m(K_p)} \lambda_m(M)$$  \hspace{1cm} (30)

there exists

$$\sqrt{\lambda_m(M) \lambda_m(K_p)} \geq \frac{3}{\lambda_m(K_i) \lambda_m(K_p)} \lambda_m(M)$$  \hspace{1cm} (31)

This means that if $K_p$ is sufficiently large or $K_i$ is sufficiently small, (30) is established, and $V \left( \ddot{q}, \dot{q}, \dddot{q} \right)$ is globally positive definite.

Taking the derivative of $V$, we get

$$\dot{V} = \dddot{q}^T M \ddot{q} + \frac{1}{2} \dot{q}^T \dot{K}_p \ddot{q} + \dddot{q}^T K_p \ddot{q} + g(q)^T \dddot{q} + \dot{q}^T g(q^d)$$

$$+ \alpha \dot{q}^T K_i^{-1} \ddot{q} + \dot{q}^T \dddot{q} + \bar{q}^T g(q^d)$$

$$- \alpha \dddot{q}^T M \ddot{q} + \frac{1}{2} \bar{q}^T K_d \dot{q}$$  \hspace{1cm} (32)

Using (13), $\dot{U}(q) = \bar{q}^T g(q)$, $\dot{q}^T g(q^d) = 0$ and

$$\frac{d}{dt} \left[ \bar{q}^T g(q^d) \right] = \dddot{q}^T g(q^d)$$

the first three terms of (32) become

$$-\dddot{q}^T g(q) - \alpha \dddot{q}^T K_d \dot{q} + \bar{q}^T \dddot{q} + \frac{1}{2} \dot{q}^T g(q^d)$$  \hspace{1cm} (33)

Because

$$\dddot{q}^T g(q^d) = -\dddot{q}^T g(q^d)$$

and $\dddot{\xi} = K_i \dot{q}$, the first seven terms of (32) are

$$-\dddot{q}^T g(q) - \alpha \dddot{q}^T \dddot{\xi} + \bar{q}^T K_i \dot{q}$$  \hspace{1cm} (34)

Now we focus our attention on the last term of (32). From (14), we have

$$\dddot{q}^T M \ddot{q} = \dddot{q}^T C \dddot{q} + \dddot{q}^T C T \dot{q}$$  \hspace{1cm} (35)

From (9)

$$\dddot{q}^T M \ddot{q} = \dddot{q}^T C \dddot{q} + \dddot{q}^T K_p \dddot{q} - \dddot{q}^T K_d \dot{q}$$  \hspace{1cm} (36)
Since $\ddot{q}^T M \dot{q} = -\ddot{q}^T M \dot{q}$, using (12) and (15) the last two terms of (32) are

\[
- \alpha (\ddot{q}^T K_p \ddot{q} - \ddot{q}^T M \dot{q} + \ddot{q}^T C^T \ddot{q}) \\
+ \ddot{q}^T [g(q^2) - g(q)] + \dot{q}^T \xi \\
\leq \alpha \ddot{q}^T M \dot{q} - \alpha \ddot{q}^T K_p \ddot{q} + \alpha k_c \|\ddot{q}\| \|\dot{q}\|^2 \\
+ \alpha k_g \|\dot{q}\|^2 - \alpha \ddot{q}^T \xi
\]  

(37)

From (34) and (37)

\[
\dot{V} \leq -\ddot{q}^T (K_d - \alpha M - \alpha k_c \ddot{q}) \dot{q} \\
- \ddot{q}^T (\alpha K_p - K_i - \alpha k_g) \ddot{q} \\
\leq -[\lambda_m (K_d) - \alpha \lambda_M (M) - \alpha k_c \|\ddot{q}\|] \|\dot{q}\|^2 \\
- [\alpha \lambda_m (K_p) - \lambda_M (K_i) - \alpha k_g] \|\ddot{q}\|^2
\]

(38)

If

\[
\|\ddot{q}\| \leq \frac{\lambda_M (M)}{\alpha k_c}
\]

(39)

and

\[
\lambda_m (K_d) \geq (1 + \alpha) \lambda_M (M) \\
\lambda_m (K_p) \geq \frac{1}{\alpha} \lambda_M (K_i) + k_g
\]

(40)

then $\dot{V} \leq 0$, $\|\ddot{q}\|$ decreases. From (31), if

\[
\lambda_m (K_d) \geq \lambda_M (M) + \sqrt{\frac{1}{3} \lambda_m (M) \lambda_M (K_p)} \\
\lambda_m (K_p) \geq \sqrt{\frac{1}{3} \lambda_m (K_i^{-1}) \lambda_M (K_p) \lambda_M (K_i)} + k_g
\]

(41)

then (40) is established. Using (30) and $\lambda_m (K_i^{-1}) = \frac{\lambda_M (M)}{\lambda_M (K_i)}$, (41) is (23).

$\dot{V}$ is negative semi-definite. Define a ball $\Sigma$ of radius $\sigma > 0$ centered at the origin of the state space, which satisfies these condition

\[
\Sigma = \left\{ \ddot{q} : \|\ddot{q}\| \leq \frac{\lambda_M (M)}{\alpha k_c} = \sigma \right\}
\]

(42)

$\dot{V}$ is negative semi-definite on the ball $\Sigma$. There exists a ball $\Sigma$ of radius $\sigma > 0$ centered at the origin of the state space for which $\dot{V} \leq 0$. The origin of the closed-loop system (22) is a stable equilibrium. Since the closed-loop equation is autonomous, we may use La Salle’s theorem. Define $\Omega$ as

\[
\Omega = \left\{ x(t) = [\ddot{q}, \dot{q}, \ddot{\xi}] \in \mathbb{R}^{3n} : \dot{V} = 0 \right\} \\
= \left\{ \ddot{\xi} \in \mathbb{R}^n : \ddot{\xi} = 0 \in \mathbb{R}^n, \ddot{q} = 0 \in \mathbb{R}^n \right\}
\]

(43)

From (32), $\dot{V} = 0$ if and only if $\ddot{q} = \ddot{\xi} = 0$. For a solution $x(t)$ to belong to $\Omega$ for all $t \geq 0$, it is necessary and sufficient that $\ddot{q} = \ddot{\xi} = 0$ for all $t \geq 0$. Therefore it must also hold that $\ddot{q} = 0$ for all $t \geq 0$. We conclude that from the closed-loop system (22), if $x(t) \in \Omega$ for all $t \geq 0$, then

\[
g(q) = g(q^2) = \ddot{\xi} + g(q^2) \\
\ddot{\xi} = 0
\]

(44)

implies that $\ddot{\xi} = 0$ for all $t \geq 0$. So $x(t) = [\ddot{q}, \dot{q}, \ddot{\xi}] = 0 \in \mathbb{R}^{3n}$ is the only initial condition in $\Omega$ for which $x(t) \in \Omega$ for all $t \geq 0$.

Finally, we may conclude that the origin of the closed-loop system (22) is locally asymptotically stable. Because $\frac{1}{\alpha} \leq \lambda_m (K_i^{-1}) \lambda_m (K_p)$, the upper bound for $\|\ddot{q}\|$ can be

\[
\ddot{q} \leq \frac{\lambda_M (M)}{k_c} \lambda_M (K_i) \lambda_m (K_p)
\]

(45)

It establishes the semi-global stability of our controller, in the sense that the domain of attraction can be arbitrarily enlarged with a suitable choice of the gains. Namely, for increasing $K_p$ the basin of attraction will grow as well.

**Remark 1:** Based on the above stability analysis, we may conclude that the three gain matrices of the linear PID control (18) can be chosen directly from the conditions (23). The most important contribution of the proposed method is that the PID parameters can be calculated directly without an exact model of the plant, resulting in a simpler PID controller design as opposed to the state of the art experimental tuning procedures in [1][2][19][21][20][24][30]. This linear PID control is exact the same as the industrial robot controllers, and is semi-globally asymptotically stable.

**IV. Simulation Results**

The joint axes of the 7-DOF upper limb Exoskeleton (EXO-UL7) are depicted in Figure 3 in which Joint 1 is shoulder abduction-adduction, Joint 2 is shoulder exion extension, Joint 3 is shoulder internal-external rotation, Joint 4 is elbow exion extension, Joint 5 is forearm pronation-supination, Joint 6 is wrist extension, and Joint 7 is wrist radial-ulnar deviation.

Given a linear PID control configuration (18), along and conditions (23) are used to determine the controller parameters. The joint velocities are estimated by the standard filters [37]

\[
\ddot{q}(s) = \frac{3s}{0.1s + 3} q(s)
\]

(46)

The structural properties of the EXO-UL7 with respect to base frame are shown in Table 2.
The above parameters are used to estimate the upper and lower bounds of the inertia matrix \( M(q) \) eigenvalues along with \( k_p \) in (15). The computed values are \( \lambda_M(M) = 5 \), \( \lambda_m(M) = 0.1 \), and \( k_p = 10 \),

\[
K_p = \text{diag}[200, 100, 80, 150, 50, 50] \\
K_i = \text{diag}[12, 3, 5, 11, 2, 3, 3] \\
K_d = \text{diag}[30, 13, 15, 20, 12, 13, 13]
\]

(47)

where \( \beta = 1.3 \), and \( \lambda_M(K_p) = 50 > 15 \), \( \lambda_M(K_i) = 12 < 13 \), \( \lambda_m(K_d) = 12 > 6.3 \).

Simulation results of Joint 1, Joint 2, Joint 4 and Joint 7 with the parameters in (47) are shown in Figure 4 and Figure 5. The condition (23) in Theorem 1 provides necessary conditions for selecting PID parameters. If \( K_{p,2} \) is changed from its initial value of 80 to 20, or \( K_{i,4} \) is changed from its initial value 11 to 20, or \( K_{d,1} \) is changed from its initial value of 30 to 5, the closed-loop system becomes unstable. However, the the orthogonal parameters of the PID controller in (47) satisfying the condition (23), leads to closed-loop system that is asymptotically stable, but these parameters are by no means the optimal set.

V. CONCLUSIONS

A novel linear PID control for a class of wearable robotic manipulators is addressed. The conditions of the semi-global asymptotic stability were defined for a common linear PID control architecture. The advantage of the proposed approach is that a full mathematical model of the system is not required for selecting the parameters of the PID controller. The simulation results using EXO-UL7 system validated the proposed design methodology of the PID control. It is important to note that the proposed methodology does not lead to the optimal set of a linear PID controller parameters. However this initial set of parameters satisfying the semi-global asymptotic stability may be further used as the initial set of further optimization once a unique design criteria are defined.

REFERENCES


